

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF TEXAS
AUSTIN DIVISION**

FEDERAL DEPOSIT INSURANCE
CORPORATION AS RECEIVER FOR
GUARANTY BANK,

Plaintiff,

-v.-

DEUTSCHE BANK SECURITIES INC.,

Defendant.

Civil Action No. A-14-cv-129-XR

JURY QUESTIONNAIRE

To help the Court and attorneys select a jury to serve, please complete the following questionnaire. The purpose of these questions is not to ask you unnecessarily about personal matters. It is simply to determine whether you may be an appropriate juror for this particular case.

The information you provide to the Court in this questionnaire will be confidential and will be provided only to the parties, the parties' lawyers and their assistants for the sole purpose of selecting the jurors who will hear this case. As a potential juror, you are sworn to answer each question under oath. That means you must answer all the questions completely and truthfully. Remember that there are no "right" or "wrong" answers; only truthful answers.

Please do not discuss the questionnaire or your answers with anyone. It is important that the answers be yours and yours only. This means that you are prohibited from conducting any research or investigation regarding this case until you are formally notified by the Court that you have been released from jury duty in this matter. The prohibition on discussing the case with anyone else and/or doing any investigation or research includes using e-mail, Facebook, Twitter, Instagram, Snap Chat, instant messaging, Google, Yahoo, or any other form of electronic communication for any purpose relating to this case.

Please do not write your name on any page other than page 1 and the Declaration page, which is the last page of this Questionnaire. Please print your answers and use black or blue ink to make sure your answers are legible. Do not write on the back of any page. If you need more room for any of your answers or want to make further comments, please use the extra space at the end of this questionnaire and note the question number you are writing about.

Thank you for your cooperation.

Your Name: _____ Your Juror No.: _____

Juror No. _____

Age: _____ Gender: ☐ Male ☐ FemaleEthnic Background: ☐ African-American ☐ Asian ☐ Caucasian ☐ Latino/a ☐ Native American
☐ Other: _____1. Are you: ☐ Married (Years _____) ☐ Single, never married ☐ Widowed ☐ Divorced ☐ Separated
☐ Living with partner (Years _____)

2. What is your address? _____

3. Do you: ☐ Rent ☐ Own ☐ Live with Relatives/Others Years at current address: _____4. What is the highest level of education you completed? ☐ Less than High School ☐ Some High School
☐ High School/GED ☐ Trade school ☐ Some college ☐ College degree ☐ Advanced Degree

Please list any degrees you have from college, technical/vocational, or graduate school and also list where you got the degree(s) and in what field of study: _____

Do you have plans to further your education? ☐ Yes ☐ No If YES, what field? _____5. What is your total household income per year, before taxes: ☐ <20,000 ☐ \$20,000-\$40,000
☐ \$40,000-\$60,000 ☐ \$60,000-\$80,000 ☐ \$80,000-\$100,000 ☐ More than \$100,0006. What is your current job status? (Check all that apply): ☐ Full-time ☐ Part-time ☐ Self-employed
☐ Homemaker ☐ Looking for work (how long? _____) ☐ Student ☐ Disabled ☐ Retired
☐ Unemployed (how long? _____)

7. How long have you worked for your current employer? _____

8. Please list your employment history for your three most recent jobs
Dates Employer Job Description_____

_____9. Do you supervise other people at your job? ☐ Yes ☐ No If YES, please describe:_____
10. Have you ever participated in or supported any charitable, civic, social, union, professional, political, consumer, or religious organizations? ☐ Yes ☐ No If YES, please tell us what group(s) and how you were involved: _____
_____11. Have you, or someone close to you, ever owned a business? ☐ Yes, self ☐ Yes, someone close ☐ No
If YES, please describe: _____12. Have you ever served in the military? ☐ Yes ☐ No If YES, what branch? _____

13. Do you, or anyone close to you, have experience, education, training or work involving any of the following fields?

Law, legal field:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Law enforcement:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Real Estate Appraisals:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Foreclosures:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Banking:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Accounting/finance:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Realtor:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Real Estate Investments:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No

Home Mortgage Industry:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Credit Ratings:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No
Insurance:	<input type="checkbox"/> Yes, self	<input type="checkbox"/> Yes, someone close	<input type="checkbox"/> No

14. Have you, or someone close to you, ever worked in the legal profession, including working for any court, judge, lawyer or law firm? ☐ Yes ☐ No If **YES**, please explain: _____

15. Spouse's Name: _____ Spouse's Employer: _____
 Spouse's job description: _____ Highest grade completed: _____

16. Do you have any children? ☐ Yes ☐ No Ages & occupations of adult children: _____

17. Do you consider yourself a leader? ☐ Yes ☐ No Why?: _____

18. How much confidence do you have in the people who run major companies in our country?

(None at all) 1 2 3 4 5 6 7 (Very much)

19. How much confidence do you have in the federal government?

(None at all) 1 2 3 4 5 6 7 (Very much)

20. How much confidence do you have in the people who run financial institutions?

(None at all) 1 2 3 4 5 6 7 (Very much)

21. Are you good at math?

(Not at all) 1 2 3 4 5 6 7 (Very good)

22. The condition of the average person is getting worse, not better.

(Strongly Disagree) 1 2 3 4 5 6 7 (Strongly Agree)

23. Most people in public office are not really interested in the problems of the average person:

(Strongly Disagree) 1 2 3 4 5 6 7 (Strongly Agree)

24. Do you believe there should be a lot more government regulation of our banking and financial industry in this country?

(Strongly Disagree) 1 2 3 4 5 6 7 (Strongly Agree)

25. Do you hold any leadership positions at work or in the community? ☐ Yes ☐ No If **YES**, what positions do you hold: _____

26. How familiar are you with the process of purchasing a home? ☐ Very Familiar ☐ Somewhat Familiar ☐ Not Familiar

27. Have you, or someone close to you, ever purchased a home and/or real estate?

☐ Yes, I have ☐ Yes, someone close has ☐ No If **YES**, explain: _____

28. Have you, or someone close to you, ever experienced a foreclosure on a home and/or property?

☐ Yes, I have ☐ Yes, someone close has ☐ No

If **YES**, who and what if anything resulted? _____

29. Have you, or someone close to you, ever applied for a home loan?

☐ Yes, I have ☐ Yes, someone close has ☐ Unsure ☐ No

If yes, please explain: _____

30. Have you, or someone close to you, ever had a dispute with a bank or mortgage company?

☐ Yes, I have ☐ Yes, someone close has ☐ Unsure ☐ No

If yes, please explain: _____

31. Have you, or someone close to you, ever had a loan application rejected by a bank?

☐ Yes, I have ☐ Yes, someone close has ☐ Unsure ☐ No

If yes, please explain: _____

32. Have you, or someone close to you, ever had a credit card application rejected by a bank?

☐ Yes, I have ☐ Yes, someone close has ☐ Unsure ☐ No

If yes, please explain: _____

33. Have you, or someone close to you, ever had a home loan application rejected?

☐ Yes, I have ☐ Yes, someone close has ☐ Unsure ☐ No

If yes, please explain: _____

34. Do you have any opinion about, or impression of the cause of the mortgage crisis of 2006-2010?

☐ Yes ☐ No If **YES**, please explain: _____

35. When people sue large corporations, how often do the cases have some merit?

☐ Almost never ☐ Rarely ☐ Often ☐ Almost always

36. When you make important decisions, do you ultimately rely on the big picture or critical details?

☐ Big Picture ☐ Critical Details

37. When it comes to really important questions, scientific facts don't help very much.

☐ Strongly agree ☐ Agree ☐ Don't Know ☐ Disagree ☐ Strongly Disagree

38. How much of your working life has involved supervising other employees?

(None of it) 1 2 3 4 5 6 7 (All of it)

39. Have you ever served as a juror? ☐ Yes ☐ No If **YES**, in what year(s) did you serve as a juror? _____

What type of case? ☐ Civil ☐ Criminal Were you the foreperson? ☐ Yes ☐ No

40. Have you ever participated in a mock jury exercise? ☐ Yes ☐ No If **YES**, in what year(s) did you participate? _____

What type of case? ☐ Civil ☐ Criminal Did it involve residential mortgage loans? ☐ Yes ☐ No

41. How much do you tend to participate when you are in a group discussion?

(Not at all) 1 2 3 4 5 6 7 (Very much)

42. How often do you find yourself functioning as one of the leaders in group situations?

(Never) 1 2 3 4 5 6 7 (Very frequently)

43. Have you, any immediate family member, or an employer ever:

Been a witness in court? ☐ Yes ☐ No If **YES**, explain: _____

Filed a lawsuit? ☐ Yes ☐ No If **YES**, explain: _____

Been sued? ☐ Yes ☐ No If **YES**, explain: _____

Wanted to file a lawsuit but for some reason could not? ☐ Yes ☐ No If **YES**, explain: _____

44. Damages awards in lawsuits today are: ☐ Too High ☐ Reasonable ☐ Too Low ☐ No opinion

45. Please circle if you, or someone close to you, know, have hired, or worked with any of the companies or individuals listed below:

Accurity Qualified Analytics	Navigant	Kelli Glass
AlixPartners LLP	NewOak Capital LLC	Myron Glucksman
Analysis Group Inc.	NoCostRefi.com	David Grais
Analytic Focus LLC	Ocwen Financial Corporation	Jerry Hausman, Ph.D.
Ankura	ResCap Liquidating Trust	Whitney Ifcher
AVMetrics LLC	Residential Mortgage Capital	Lee Kennedy
Barclays	Residential Funding Corporation	James Johnson
Blum Capital Advisors LLC	Residential Accredited Loans, Inc.	Patrick King
CIT Bank, N.A.	SCME Mortgage Banks, Inc.	Frank Lucco
Clayton	Simpson Thacher & Bartlett LLP	Michael Lustig
Commercial Capital Bank, FSB	Sperlinga Advisory LLC	Linton Mann III
CoreLogic	SpoLoan Mortgage Banking	Kathryn Matthews
DataQuick	Standard & Poor's	James Meintjes
Davis, Gerald & Cremer, P.C.	Temple Inland	Norman Miller, Ph.D.
Deutsche Bank Securities Inc.	Whitclem Enterprises Inc.	Dawn Molitor-Gennrich
(DBSI)	Yetter Coleman LLP	Thomas Murray
Federal Deposit Insurance	Anilesh Ahuja	Lisa Paulson
Corporation (FDIC)	Prasanth Akapeddi	Thomas Raburn
Fitch	Arnold Barnett, Ph.D.	Karen Ramallo
GMAC Residential Funding	Vickie Beal	Shannon Ratliff
Corporation	Steven Beck	Stephen Ryan, Ph.D.
GMAC-RFC Holding Company,	Kumarjit Bhattacharyya	Edward Schultze
LLC	Leonard Blum	Waqas Shaikh
Grais & Ellsworth LLP	Michael Calcote	Richard Spinner
Greylock McKinnon Associates	Bryce Callahan	Joel Spolin
Inc.	Debash Chatterjee	Joseph Swartz
Guaranty Bank	John Contino	Walter Torous, Ph.D.
Guaranty Financial Group Inc.	Charles Cowan, Ph.D.	Susan Valenti
Guaranty Residential Lending	Colin Cox	Stanley Wu
HomeComings Financial	Jacqueline Doty	Adam Yarnold
Network, Inc.	Michael Dryden	R. Paul Yetter
IndyMac Bank, F.S.B.	Kenneth Dubuque	Jonathan Youngwood
IndyMac MBS, Inc.	Jack Falconi	
IndyMac Bank	John Finnerty	
Moody's	Andrew Frankel	
MortgageIT, Inc.	Mark Ginsberg	

If you circled any of the companies or individuals listed in question no. 48, please explain who and the nature of the relationship:

46. Have you had any negative experiences with, or do you have any negative impressions of, any of the companies or individuals listed above? Please explain: _____

47. Is there anything you are aware of that may affect your ability to be a fair and impartial juror in this case?

☐ Yes ☐ No If **YES**, Please explain: _____

Additional Space (If Needed)

I certify that my answers to the above questions are true and accurate,

Your signature: _____ Date signed: _____